

GP 2756  
PATENT 2152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Dkt. No:	5181-63200
Client Ref. No.:	P5081
Inventor(s):	Traversat, et al.
Serial No.:	09/587,078
Filing Date:	August 28, 2000
Examiner:	Unknown
Group Art Unit:	2756
Title:	PROCESS PERSISTENCE IN A VIRTUAL MACHINE

§  
§  
§  
§  
§  
§  
§  
§  
§  
§  
§

CERTIFICATE OF FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert  
Name of Registered Representative

Dec. 27, 2000  
Date

*[Signature]*  
Signature

#4

**POWER OF ATTORNEY BY ASSIGNEE  
AND REVOCATION OF PREVIOUS POWERS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir/Madam:

SUN MICROSYSTEMS, INC., ("assignee"), a Delaware corporation having a place of business at 901 San Antonio Road, Palo Alto, California 94303, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

Assignee has reviewed the assignment documents that evidence the placement of title in the assignee, true and correct copies of which are attached hereto, and understands and believes that these assignment documents have been submitted for recordation in the U.S. Patent and Trademark Office.

Pursuant to 37 C.F.R. §1.36 and 3.71, the assignee hereby revokes all powers of attorney previously given and appoints

Kenneth Olsen  
Robert S. Hauser  
Alexander E. Silverman  
Christine S. Lam  
Timothy J. Crean  
Joseph T. FitzGerald  
Anirma Rakshpal Gupta  
Sean P. Lewis  
Michael J. Schallopp  
Bernice B. Chen  
Kenta Suzue  
Noreen A. Krall  
Richard J. Lutton, Jr.  
Monica D. Lee

Reg. No. 26,493  
Reg. No. 37,847  
Reg. No. 37,940  
Reg. No. 37,489  
Reg. No. 37,116  
Reg. No. 33,881  
Reg. No. 38,275  
Reg. No. 42,798  
Reg. No. 44,319  
Reg. No. 42,403  
Reg. No. 45,145  
Reg. No. 39,734  
Reg. No. 39,756  
Reg. No. 40,696

RECEIVED

JAN 09 2001

Technology Center 2100

Marc D. Foodman	Reg. No. 34,110
Naren Chaganti	Reg. No. 44,602
Elaine Lee	Reg. No. 41,936
Hugh H. Matsubayashi	Reg. No. 43,779

*each of said attorneys being employed by Sun Microsystems; and*

Dan R. Christen	Reg. No. 39,943
Gentry E. Crook	Reg. No. 44,633
Kevin L. Daffer	Reg. No. 34,146
Mark R. DeLuca	Reg. No. 44,649
Jeffrey C. Hood	Reg. No. 35,198
Robert C. Jahnke	Reg. No. 44,800
B. Noël Kivlin	Reg. No. 33,929
Robert C. Kowert	Reg. No. 39,255
Lawrence J. Merkel	Reg. No. 41,191
Eric B. Meyertons	Reg. No. 34,876
Louise K. Miller	Reg. No. 36,609
David W. Quimby	Reg. No. 39,338
David A. Rose	Reg. No. 26,223

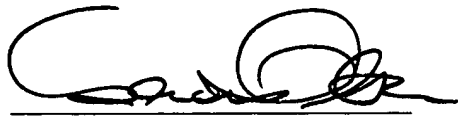
*each of said attorneys or agents being a member or an associate of the firm of Conley, Rose & Tayon, P.C., as attorney or agent for so long as they remain with such company or firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive the Letters Patent.*

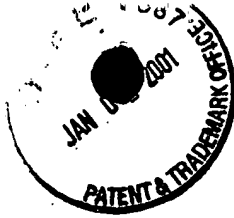
Pursuant to 37 C.F.R. §3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).

Send all future correspondence to: Robert C. Kowert  
CONLEY, ROSE & TAYON, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
(512) 476-1400

Assignee of Interest: SUN MICROSYSTEMS, INC.  
M/S PAL 01-521  
901 San Antonio Road  
Palo Alto, CA 94303

Dated: NOV 29 2000

By:   
Name: Kenneth Olsen  
Reg. No.: 26,493  
Title: Vice President, Intellectual Property



5181-63200  
Q5081  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 23, 2000

PTAS

CONLEY, ROSS & TAYON, P.C.  
ROBERT C. KOWERT  
P.O. BOX 398  
AUSTIN, TX 78767-0398



\*101451463A\*

RECEIVED

JAN 09 2001

Technology Center 2100

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/28/2000

REEL/FRAME: 011043/0971  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TRAVERSAT, BERNARD A.

DOC DATE: 07/24/2000

ASSIGNOR:

DUIGOU, MIKE

DOC DATE: 07/24/2000

ASSIGNOR:

SAULPAUGH, THOMAS E.

DOC DATE: 07/24/2000

ASSIGNOR:

SLAUGHTER, GREGORY L.

DOC DATE: 07/24/2000

ASSIGNEE:

SUN MICROSYSTEMS, INC.  
901 SAN ANTONIO ROAD  
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 09587078

FILING DATE: 06/02/2000

PATENT NUMBER:

ISSUE DATE:

OCT 30 2000

011043/0971 PAGE 2

JACQUELINE MOORE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

09-07-2000

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



8.28.00

101451463

To the Honorable Commissioner of Patents and Trademarks

of copy thereof.

1. Name of conveying party(ies):

Bernard A. Traversat  
Mike Duigou  
Thomas E. Saulpaugh  
Gregory L. Slaughter

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no



2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

Internal Address: \_\_\_\_\_

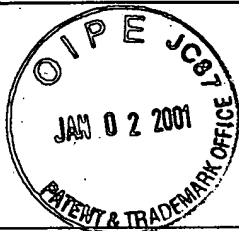
Street Address: 901 San Antonio Road

City Palo Alto State CA ZIP 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other \_\_\_\_\_



Execution Date: July 24, 2000

RECEIVED

JAN 09 2001

Technology Center 2100

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is:

A. Patent Application No.(s) 09/587,078 filed 6/2/00

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert C. Kowert

Internal Address: Conley, Rose & Tayon, P.C.

Street Address: P.O. Box 398

City Austin State TX ZIP 78767-0398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach a duplicate copy of this page if paying by deposit account)  
03-2769

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Kowert

Name of Person Signing  
Reg. No. 39,255

Signature

August 22, 2000

Date

Total number of pages: 3

OMB No. 0651-011 (exp.4/94)

09/30/2000 SLX:31 00000036 03237070

03 FC:531

49.00 09

**ASSIGNMENT**

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned does hereby:

SELL, ASSIGN AND TRANSFER to SUN MICROSYSTEMS, INC. (the "Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at 901 San Antonio Road, Palo Alto, California 94303, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which was filed June 2, 2000, Serial No. 09/587,078, and is entitled PROCESS PERSISTENCE IN A VIRTUAL MACHINE, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

COVENANT AND AGREE that the above is and will be binding on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assigns.

(Signature)  
Name:

  
Bernard A. Traversat

Date:

7/24/00

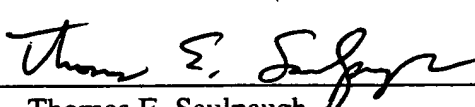
(Signature)  
Name:

  
Michael J. Duigou

Date:

7.24.00

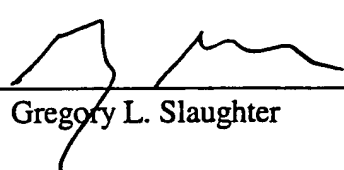
(Signature)  
Name:

  
Thomas E. Saulpaugh

Date:

7-24-00

(Signature)  
Name:

  
Gregory L. Slaughter

Date:

7/24/00

Robert C. Kowert  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398